UNITED STATES SENATE COMMITTEE ON THE JUDICIARY

QUESTIONNAIRE FOR JUDICIAL NOMINEES

PUBLIC

1. Name: State full name (include any former names used).

Sarah French Russell

2. <u>Position</u>: State the position for which you have been nominated.

United States District Judge for the District of Connecticut

3. <u>Address</u>: List current office address. If city and state of residence differs from your place of employment, please list the city and state where you currently reside.

Office:

Quinnipiac University School of Law 275 Mt. Carmel Avenue Hamden, Connecticut 06518 (mailing address)

370 Bassett Road North Haven, Connecticut 06473 (physical address)

Residence:

Hamden, Connecticut

4. Birthplace: State year and place of birth.

1976; Boston, Massachusetts

5. <u>Education</u>: List in reverse chronological order each college, law school, or any other institution of higher education attended and indicate for each the dates of attendance, whether a degree was received, and the date each degree was received.

1999 – 2002, Yale Law School; J.D., 2002

1994 – 1998, Yale College; B.A. (magna cum laude), 1998

6. <u>Employment Record</u>: List in reverse chronological order all governmental agencies, business or professional corporations, companies, firms, or other enterprises, partnerships, institutions or organizations, non-profit or otherwise, with which you have been affiliated as an officer, director, partner, proprietor, or employee since graduation from college, whether or not you received payment for your services. Include the name

and address of the employer and job title or description.

2011 – present

Quinnipiac University School of Law

275 Mt. Carmel Avenue

Hamden, Connecticut 06518

Assistant Professor of Law (2011 – 2013)

Associate Professor of Law (2013 – 2015)

Professor of Law (2015 – present)

Director of Legal Clinic (2019 – present)

Director of Criminal Law and Advocacy Concentration (2015 – present)

Member of Executive Committee (2016 – present)

2007 - 2010

Yale Law School

P.O. Box 208215

New Haven, Connecticut 06520

Director of Arthur Liman Public Interest Program

Lecturer in Law

Associate Research Scholar in Law

2005 - 2007

Office of the Federal Public Defender

265 Church Street, Suite 702

New Haven, Connecticut

Assistant Federal Public Defender

2003 - 2005

The Honorable Chester J. Straub

U.S. Circuit Judge, U.S. Court of Appeals for the Second Circuit

Thurgood Marshall U.S. Courthouse

40 Foley Square

New York, New York 10007

Law Clerk

2002 - 2003

The Honorable Michael B. Mukasey

Chief Judge, U.S. District Court, Southern District of New York

Daniel Patrick Moynihan United States Courthouse

500 Pearl Street

New York, New York 10007

Law Clerk

Summer 2001

Williams & Connolly

680 Marine Avenue, Southwest

Washington, DC 20024 Summer Associate

1998 – 1999 Law Offices of Elisa Barnes 180 Varick Street, Suite 502 New York, New York 10014 Legal Assistant

1998 Kaplan, Inc. 6301 Kaplan University Avenue Fort Lauderdale, Florida 33309 Instructor

Other Affiliations (Uncompensated)

2016 – 2022 Kingsley Trust Association P.O. Box 9153 New Haven, Connecticut 06532 Officer, Board of Trustees

Summer 2001 Children's Law Center of Massachusetts 2 State Street, 2nd Floor Lynn, Massachusetts 01903 Summer Law Student Intern

2000 – 2002 Yale Law School P.O. Box 208215 New Haven, Connecticut 06520 Research Assistant to Professor Judith Resnik

Summer 2000
U.S. Department of Justice
Civil Division, Appellate Staff
950 Pennsylvania Avenue, Northwest, Room 7519
Washington, DC 20530
Summer Law Student Intern

7. <u>Military Service and Draft Status</u>: Identify any service in the U.S. Military, including dates of service, branch of service, rank or rate, serial number (if different from social security number) and type of discharge received, and whether you have registered for selective service.

I did not serve in the military. I was not required to register with the selective service.

8. <u>Honors and Awards</u>: List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards, and any other special recognition for outstanding service or achievement.

Carmen Tortora Professorship, Quinnipiac University School of Law (2020 – 2023) (three-year professorship)

Connecticut Bar Foundation, James W. Cooper Fellow (2016 – present)

40 Women for the Next 40 Years, Connecticut Women's Education and Legal Fund (2014)

New Leader in the Law, Connecticut Law Tribune (2013)

Yale Law School

The Yale Law Journal, Notes Editor (2001 – 2002) Yale Law Women, Executive Board (2000 – 2001)

Yale College

Magna Cum Laude (1998)

Distinction in Major (Ethics, Politics, and Economics) (1998)

Nicolas Adamo Scholar/Athlete Prize (1998)

Yale Women's Varsity Ice Hockey Team (Division I)

Captain (1997 – 1998)

Bingham Cup (1998)

Blanning Award (1996)

Francis Gordon Brown Memorial Prize (1997)

9. <u>Bar Associations</u>: List all bar associations or legal or judicial-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.

Association of American Law Schools

Clinical Legal Education Association

Committee on Judicial Ethics, Connecticut Judicial Branch (2013 – 2019) (appointed by the Chief Justice of the Connecticut Supreme Court)

Connecticut Bar Association

Connecticut Sentencing Commission (appointed by the Governor) Member (2016 – present) Member, Steering Committee (2019 – present) Vice Chair, Incarceration and Collateral Consequences Subcommittee (2018 – present)

Hamden Juvenile Review Board, Advisory Board, Town of Hamden

National Association of Federal Defenders (2005 – 2007)

Transition Policy Committee on Criminal Justice, Governor Ned Lamont (Nov. 2018 – Feb. 2019)

U.S. District Court for the District of Connecticut

Standing Committee on the Criminal Justice Act (appointed by the Chief Judge for the U.S. District Court) (2019 - 2022)

Federal Grievance Committee (appointed by the Chief Judge for the U.S. District Court)

Counsel (2020 – present) Member (2015 – 2020)

10. Bar and Court Admission:

a. List the date(s) you were admitted to the bar of any state and any lapses in membership. Please explain the reason for any lapse in membership.

Connecticut, 2008 Massachusetts, 2003 New York, 2006

There have been no lapses in membership.

- b. List all courts in which you have been admitted to practice, including dates of admission and any lapses in membership. Please explain the reason for any lapse in membership. Give the same information for administrative bodies that require special admission to practice.
 - U.S. Court of Appeals for the Second Circuit, 2005
 - U.S. District Court, District of Connecticut, 2005
 - U.S. District Court, District of Massachusetts, 2005

From approximately 2010 to 2022 I allowed my membership to the Second Circuit to lapse. Renewal is required every five years and I did not renew during this time period as I was not handling Second Circuit appeals. There have been no other lapses in membership.

11. Memberships:

a. List all professional, business, fraternal, scholarly, civic, charitable, or other organizations, other than those listed in response to Questions 9 or 10 to which you belong, or to which you have belonged, since graduation from law school. Provide dates of membership or participation, and indicate any office you held. Include clubs, working groups, advisory or editorial boards, panels, committees, conferences, or publications.

Cold Spring School, Trustee (2018 – present)

Connecticut Children's Museum, Trustee (2019 – present)

Kingsley Trust Association
Member (1997 – present)
Trustee (2016 – present)
Officer (2016 – 2022)

Milford Yacht Club (2009 – 2017)

New Haven Lawn Club (2017 – present)

Ridge Top Club (2017 – present)

Yale Youth Hockey, Manager of the 2010AAA Team (2019 – 2023)

b. The American Bar Association's Commentary to its Code of Judicial Conduct states that it is inappropriate for a judge to hold membership in any organization that invidiously discriminates on the basis of race, sex, or religion, or national origin. Indicate whether any of these organizations listed in response to 11a above currently discriminate or formerly discriminated on the basis of race, sex, religion or national origin either through formal membership requirements or the practical implementation of membership policies. If so, describe any action you have taken to change these policies and practices.

To the best of my knowledge, none of the organizations that I have been a member of currently discriminate on the basis of race, sex, religion, or national origin. The Kingsley Trust Association formerly excluded women from its organization until approximately eight years before I joined.

12. Published Writings and Public Statements:

a. List the titles, publishers, and dates of books, articles, reports, letters to the editor, editorial pieces, or other published material you have written or edited, including material published only on the Internet. Supply four (4) copies of all published material to the Committee.

Law Review Articles

Second Looks at Sentences Under the First Step Act, 32 Fed. Sent. R. 76 (2019). Copy supplied.

With Tracy Denholtz, *Procedures for Proportionate Sentences: The Next Wave of Eighth Amendment Noncapital Litigation*, 48 CONN. L. REV. 1121 (2016) (symposium). Copy supplied.

Jury Sentencing and Juveniles: Eighth Amendment Limits and Sixth Amendment Rights, 56 BOSTON COLLEGE L. REV. 553 (2015). Copy supplied.

Review for Release: Juvenile Offenders, State Parole Practices, and the Eighth Amendment, 89 Indiana L.J. 373 (2014). Copy supplied.

A "Second Look" at Lifetime Incarceration: Narratives of Rehabilitation and Juvenile Offenders, 31 QUINNIPIAC L. REV. 489 (2013) (symposium). Copy supplied.

Reluctance to Resentence: Courts, Congress, and Collateral Review, 91 NORTH CAROLINA L. REV. 79 (2012). Copy supplied.

Rethinking Recidivist Enhancements: The Role of Prior Drug Convictions in Federal Sentencing, 43 UC DAVIS L. REV. 1135 (2010). Copy supplied.

With Jennifer L. Colyer, Robert E. Juceam, and Lewis J. Liman, *The Representational and Counseling Needs of the Immigrant Poor*, 78 FORDHAM L. REV. 461 (2009). Copy supplied.

Covering Women and Violence: Media Treatment of VAWA's Civil Rights Remedy, 9 MICH. J. GENDER & L. 327 (2003). Copy supplied.

Other Published Materials

Rethinking the Use of Criminal Records, Criminal Justice Ethics (2021) (book review). Copy supplied.

The Role of the Crime at Juvenile Parole Hearings, 41 Harbinger 227 (2016). Copy supplied.

Why the Local Still Matters: Federalism, Localism, and Public Interest Advocacy (2010) (co-author of introduction; co-editor) (monograph published by the Liman Public Interest Program at Yale Law School and the National State Attorneys General Program at Columbia Law School). Copy supplied.

Liman Public Interest Program Newsletter (2009) (co-editor). Copy supplied.

Liman Public Interest Program Newsletter (2008) (co-editor). Copy supplied.

Liman Public Interest Program Newsletter (2007) (co-editor). Copy supplied.

With Monica Lesmerises, Letter to the Editor, Yale Daily News (1995). Copy supplied.

Women of the Ivy League (1995) (student publication) (co-editor). I am unable to locate a copy.

b. Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of on behalf of any bar association, committee, conference, or organization of which you were or are a member. If you do not have a copy of a report, memorandum or policy statement, give the name and address of the organization that issued it, the date of the document, and a summary of its subject matter.

Juvenile Sentencing Project

Since 2014, I have directed the Juvenile Sentencing Project at Quinnipiac University School of Law, which provides resources relating to the prosecution and sentencing of juveniles in adult court. Below, I list memoranda prepared by the Project, which were authored by some combination of myself, post-graduate fellows, and law students. I typically provided at least some editorial feedback, even where I was not the lead author. I do not necessarily recall which portions of the memoranda I authored. Some of these memos have been updated several times, and I list the most recent versions below.

Juvenile Sentencing Project, Ending Mandatory Minimum Sentences for Children (2021). Copy supplied.

Juvenile Sentencing Project, Best Practices for Juvenile Parole Hearings (2021). Copy supplied.

Juvenile Sentencing Project, Limiting Transfer of Young Teenagers to Adult Court (2021). Copy supplied.

Juvenile Sentencing Project, Limiting Transfer to Adult Court: Enhancing Judicial Oversight (2021). Copy supplied.

Juvenile Sentencing Project, Ending Solitary Confinement of Children (2021). Copy supplied.

Juvenile Sentencing Project, Consideration of Youth for Older Adolescents and Young Adults (2021). Copy supplied.

Juvenile Sentencing Project, Juvenile Life Without Parole Sentences in the United States: November 2017 Snapshot (Nov. 20, 2017). Copy supplied.

Connecticut Sentencing Commission

I have been a member of the Connecticut Sentencing Commission since August 2016. The Commission conducts research, responds to requests from the Governor, legislators, and other public officials, and holds public meetings and hearings. The Commission also makes recommendations for legislative and administrative change, often in response to legislation requiring the Commission to study a particular issue and develop recommendations or in response to a specific request from the Governor or a legislator. The Commission has issued annual reports and legislative proposals each year since I have been a member and has issued, on a periodic basis, reports on various other topics. These materials, and several other memoranda or reports to which I have contributed, are listed below and supplied.

Connecticut Sentencing Commission, Annual Report (2022, 2021, 2020, 2019, 2018, 2017, 2016). I did not draft or edit any of the Annual Reports. Copies supplied.

Connecticut Sentencing Commission, Legislative Proposals (2023 Session, 2022 Session, 2021 Session, 2020 Session, 2019 Session, 2018 Session, 2017 Session). The Commission's Legislative Proposals by year are available at https://ctsentencingcommission.org/policy-and-legislation. My role with respect to legislative proposals has varied. In some instances, I had no role in developing a proposal whereas in other instances I contributed to the proposal's development through research and discussion.

Connecticut Sentencing Commission, Mental Health Disorders in Connecticut's Incarcerated Population (Jan. 2023). I did not draft or edit this report. Copy supplied.

Connecticut Sentencing Commission, Report on Pretrial Justice Toward a Fair and Just System (June 2022). I did not draft or edit this report. Copy supplied.

Connecticut Sentencing Commission, Letter to the Governor, Improving Access to Occupational Licenses for Individuals With Criminal Records (Jan. 2022). I provided edits on this letter. Copy supplied.

Connecticut Sentencing Commission, Report to the Governor and the General Assembly on Pretrial Diversionary Programs (Dec. 2020). I did not draft or edit this report. Copy supplied.

Connecticut Sentencing Commission, A Study on Sentencing Outcomes for Firearm Offenses (Dec. 2020). I did not draft or edit this report. Copy supplied.

Connecticut Sentencing Commission, Mental Health Care Need Classifications in Connecticut's Incarcerated Population (July 2020). I did not draft or edit this memorandum. Copy supplied.

Connecticut Sentencing Commission and Yale Law School Reentry Clinic, Improving Access to Voting in Connecticut Jails and Prisons (June 2020). I did not draft or edit this memorandum. Copy supplied.

Connecticut Sentencing Commission, Interim Report of the Disparities in Pretrial Justice and Sentencing Outcomes in Connecticut (Jan. 2020). I did not draft or edit this report. Copy supplied.

Connecticut Sentencing Commission, A Study of the Sex Offender Sentencing, Registration and Management System (Dec. 2017). I did not draft or edit this report. Copy supplied.

Connecticut Sentencing Commission, Connecticut Certificates of Employability Program Evaluation (2017). I provided some edits on this report. Copy supplied.

Connecticut Sentencing Commission, Report to the Governor and General Assembly on Pretrial Release and Detention (Feb. 2017). I provided some edits on this report. Copy supplied.

Connecticut Sentencing Commission, Special Committee on Sex Offenders Interim Report (Jan. 2016). I did not draft or edit this report. Copy supplied.

Recidivism Reduction Committee of the Connecticut Sentencing Commission, Evidence-Based Reentry Initiatives Devoted to Strengthening Positive Social Relationships (Sept. 2012). I provided some research help and edits for this report. Copy supplied.

Other Commission-Related Materials

With Alex Tsarkov, Executive Director of Connecticut Sentencing Commission and John Santa, Chair of Incarceration and Collateral Consequences Subcommittee, Memorandum to the Connecticut Sentencing Commission re Sex Offender Registry Removal Mechanism (2022). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, Memorandum for the Connecticut Sentencing Commission's Incarceration and Collateral Consequences Subcommittee re Cost-of-Incarceration Statute (2021). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, Memorandum for the Connecticut Sentencing Commission's Incarceration and Collateral Consequences Subcommittee re Registry Removal for Individuals Convicted of Sex Offenses in

Connecticut and Other States (2021). Copy supplied.

Other Materials

I contributed to the following memoranda, reports, or fact sheets.

Civil Justice Clinic, Quinnipiac University School of Law, Fact Sheet on HB 5390 (2022). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, Memorandum regarding Felony Disenfranchisement in Connecticut (Oct. 2019). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, Memorandum regarding Erasure of Marijuana Convictions (Oct. 2019). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, Juvenile Sentencing Bill, Racial Disparity Fact Sheet (Mar. 2015).

Memorandum regarding Juvenile Sentencing Legislation (Dec. 2014). Copy supplied.

Lowenstein International Human Rights Clinic at Yale Law School and Civil Justice Clinic at Quinnipiac University School of Law, Youth Matters: A Second Look for Connecticut's Children Serving Long Prison Sentences (2013). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, Juvenile Sentencing Fact Sheet (Apr. 2013). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, Juvenile Sentencing Fact Sheet (Nov. 2012). Copy supplied.

Civil Justice Clinic, Quinnipiac University School of Law, A Second Look: Review of Lifetime Incarceration of Connecticut Children (Mar. 2012). Copy supplied.

Legal Clinic, Quinnipiac University School of Law and Institute for Municipal and Regional Policy, Central Connecticut State University, The Pardon System in Connecticut (June 2011). Copy supplied.

c. Supply four (4) copies of any testimony, official statements or other communications relating, in whole or in part, to matters of public policy or legal interpretation, that you have issued or provided or that others presented on your behalf to public bodies or public officials.

Connecticut Committee on Judicial Ethics

From August 2013 to August 2019, I served on the Committee on Judicial Ethics. The Committee provides formal and informal advisory opinions to judges and judicial nominees with respect to the rules of court and statutes that govern the ethical and professional conduct of judges. Minutes are supplied. The opinions of the Committee are available by year at

https://www.jud.ct.gov/committees/ethics/summaries.htm#2013.

Connecticut Sentencing Commission

From August 2016 to present, I have served as a member of the Connecticut Sentencing Commission and made various public statements at Commission meetings in that capacity. From August 2016 to present, I have served on the Commission's Collateral Consequences Subcommittee (later called the Incarceration and Collateral Consequences Subcommittee). Since January 2019, I have served on the Commission's Steering Committee. I have also served on various ad-hoc working groups and subcommittees. Where available, I have supplied minutes for the meetings of the Commission during the time period when I was a member or was present at the meeting as a guest participant. I have also supplied minutes, where available, from subcommittee or working group meetings that I attended.

Below are the available recordings of meetings, public hearings, and informational forums.

Recording of November 16, 2022, meeting available at https://ct-n.com/ctnplayer.asp?odID=21164

Recording of September 14, 2022, meeting available at https://ct-n.com/ctnplayer.asp?odID=21014

Recording of May 25, 2022, meeting available at https://ct-n.com/ctnplayer.asp?odID=19763

Recording of Jan. 19, 2022, meeting available at https://ct-n.com/ctnplayer.asp?odID=19268

Recording of Jan. 20, 2021, meeting available at https://ct-n.com/ctnplayer.asp?odID=12958

Recording of Sept. 15, 2021, meeting available at https://ct-n.com/ctnplayer.asp?odID=13761

Recording of July 14, 2021, meeting available at https://ct-n.com/ctnplayer.asp?odID=13607

Recording of Jan. 23, 2020, meeting available at https://ct-n.com/ctnplayer.asp?odID=11935

Recording of April 17, 2020, meeting available at https://ct-n.com/ctnplayer.asp?odID=12176

Recording of May 27, 2020, meeting available at https://ct-n.com/ctnplayer.asp?odID=12256

Recording of Sept. 16, 2020, meeting available at https://ct-n.com/ctnplayer.asp?odID=12549

Recording of Jan. 25, 2019, meeting available at https://ct-

n.com/ctnplayer.asp?odID=10880

Recording of March 28, 2019, meeting available at https://ct-

n.com/ctnplayer.asp?odID=11087

Recording of Sept. 12, 2019, meeting available at https://ct-

n.com/ctnplayer.asp?odID=11576

Recording of Dec. 5, 2018, meeting available at https://ct-

n.com/ctnplayer.asp?odID=11812

Recording of Dec. 6, 2018, public hearing available at https://ct-

n.com/ctnplayer.asp?odID=10744

Recording of Sept. 13, 2018, meeting available at https://ct-

n.com/ctnplayer.asp?odID=10546

Recording of June 20, 2018, meeting available at https://ct-

n.com/ctnplayer.asp?odID=10546

Recording of Feb. 2, 2017, meeting available at https://ct-

n.com/ctnplayer.asp?odID=8775

Recording of June 8, 2017, meeting available at https://ct-

n.com/ctnplayer.asp?odID=9218

Recording of Dec. 14, 2017, meeting available at https://ct-

n.com/ctnplayer.asp?odID=9803

Recording of Dec. 11, 2017, public hearing available at https://ct-

n.com/ctnplayer.asp?odID=9803

Recording of March 20, 2017, informational forum available at https://ct-

n.com/ctnplayer.asp?odID=8916

Recording of Jan. 25, 2017, public hearing available at https://ct-

n.com/ctnplayer.asp?odID=8741

Recording of Dec. 8, 2016, meeting available at https://ct-

n.com/ctnplayer.asp?odID=8597

Recording of Sept. 8, 2016, meeting available at https://ct-

n.com/ctnplayer.asp?odID=8324

Recording of Nov. 3, 2016, public hearing available at https://ct-

n.com/ctnplayer.asp?odID=8498

Testimony has been provided by the Connecticut Sentencing Commission while I have been a member of the Commission on the following occasions. I indicate where I provided oral testimony on behalf of the Commission.

Connecticut Sentencing Commission, Hearing on S.B. 1060 Before the Joint Comm. on the Judiciary, 2023 Leg. (Conn. 2023). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 6714 Before the Joint Comm. on the Judiciary, 2023 Leg. (Conn. 2023). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 6917 Before the Joint Comm. on the Judiciary, 2023 Leg. (Conn. 2023). I did not draft, edit, or review

this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 952 Before the Joint Comm. on the Judiciary, 2023 Leg. (Conn. 2023). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.J. 261 Before the Joint Comm. on the Judiciary, 2023 Leg. (Conn. 2023). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 425 Before the Joint Comm. on the Judiciary, 2022 Leg. (Conn. 2022). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 5390 Before the Joint Comm. on the Judiciary, 2022 Leg. (Conn. 2022). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 1019 Before the Joint Comm. on the Judiciary, 2021 Leg. (Conn. 2021). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 5318 Before the Joint Comm. on Government Administration and Elections, 2021 Leg. (Conn. 2021). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 6594 Before the Joint Comm. on the Judiciary, 2021 Leg. (Conn. 2021). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 233 Before the Joint Comm. on Government Administration and Elections, 2020 Leg. (Conn. 2020). I reviewed this testimony and provided some edits. I also provided oral testimony. Copies supplied.

Connecticut Sentencing Commission, Hearing on S.B. 389 Before the Joint Comm. on the Judiciary, 2020 Leg. (Conn. 2020). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 25 Before the Joint Comm. on Government Administration and Elections, 2019 Leg. (Conn. 2019). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 843 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 691 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). I reviewed this testimony and provided some edits. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 948 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). I reviewed this testimony and provided some edits. I also provided oral testimony. Copies supplied.

Connecticut Sentencing Commission, Hearing on S.B. 1113 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 7349 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Testimony on the Nomination of the Honorable Robert J. Devlin to be a Judge of the Appellate Court, 2019 Leg. (Conn. 2019). I reviewed this testimony prior to its submission but did not make any edits to it. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 5578 Before the Joint Comm. on the Judiciary, 2018 Leg. (Conn. 2018). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 5544 Before the Joint Comm. on the Judiciary, 2018 Leg. (Conn. 2018). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 1032 Before the Joint Comm. on the Judiciary, 2017 Leg. (Conn. 2017). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 1040 Before the Joint Comm. on the Judiciary, 2017 Leg. (Conn. 2017). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 7262 Before the Joint Comm. on the Judiciary, 2017 Leg. (Conn. 2017). I did not draft, edit, or review this testimony prior to its submission. Copy supplied.

Connecticut Sentencing Commission, Hearing on H.B. 7287 Before the Joint Comm. on the Judiciary, 2017 Leg. (Conn. 2017). I reviewed this testimony and provided some edits. I also provided oral testimony on this bill. Copies supplied.

Connecticut Sentencing Commission, Hearing on S.B. 796 and H.B. 6926 Before

the Joint Comm. on the Judiciary, 2015 Leg. (Conn. 2015). I reviewed this testimony and provided some edits. I also provided oral testimony on this bill. Copies supplied.

Connecticut Sentencing Commission, Hearing on S.B. 153 Before the Joint Comm. on the Judiciary, 2014 Leg. (Conn. 2014). I reviewed this testimony and provided some edits. Copy supplied.

Connecticut Sentencing Commission, Hearing on S.B. 6582 Before the Joint Comm. on the Judiciary, 2013 Leg. (Conn. 2013). I reviewed this testimony and provided some edits. I also provided oral testimony. Copies supplied.

Testimony of Civil Justice Clinic/Legal Clinic/Juvenile Sentencing Project

I list below testimony provided to committees of the Connecticut General Assembly by the Civil Justice Clinic, Legal Clinic, or Juvenile Sentencing Project at Quinnipiac University School of Law. The testimony listed below was authored by some combination of law students, post-graduate fellows, and myself. I do not necessarily recall which testimony and portions of testimony that I authored.

Civil Justice Clinic, Hearing on H.B. 5390 Before the Joint Comm. on the Judiciary, 2022 Leg. (Conn. 2022). Copy supplied.

Juvenile Sentencing Project and Legal Clinic, Hearing on S.B. 978 Before the Joint Comm. on the Judiciary, 2021 Leg. (Conn. 2021). Copy supplied.

Civil Justice Clinic, Hearing on S.B. 233 Before the Joint Comm. on the Judiciary, 2020 Leg. (Conn. 2020). Copy supplied.

Civil Justice Clinic and Juvenile Sentencing Project, Hearing on S.B. 691 and S.B. 1109 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). Copy supplied.

Civil Justice Clinic, Hearing on H.B. 6714, S.B. 880, and S.B. 1099 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). I also provided oral testimony. Copies supplied.

Juvenile Sentencing Project and Legal Clinic, Hearing on H.B. 7332 Before the Joint Comm. on Public Safety and Security, 2019 Leg. (Conn. 2019). Copy supplied.

Juvenile Sentencing Project and Legal Clinic, Hearing on H.B. 7389 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). Copy supplied.

Civil Justice Clinic, Hearing on S.B. 861 Before the Joint Comm. on Veterans' Affairs, 2019 Leg. (Conn. 2019). Copy supplied.

Civil Justice Clinic, Hearing on S.B. 1113 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). Copy supplied.

Civil Justice Clinic, Hearing on S.B. 1085 Before the Joint Comm. on the Judiciary, 2019 Leg. (Conn. 2019). Copy supplied.

Civil Justice Clinic, Hearing on H.B. 7371 Before the Joint Comm. on General Law, 2019 Leg. (Conn. 2019). Copy supplied.

Juvenile Sentencing Project and Legal Clinic, Hearing on S.B. 187 Before the Joint Comm. on Children, 2018 Leg. (Conn. 2018). Copy supplied.

Civil Justice Clinic, Hearing on H.B. 7302 Before the Joint Comm. on the Judiciary, 2017 Leg. (Conn. 2017). Copy supplied.

Juvenile Sentencing Project and Civil Justice Clinic, Hearing on S.B. 18 Before the Joint Comm. on the Judiciary, 2016 Leg. (Conn. 2016). Copy supplied.

Civil Justice Clinic, Hearing on S.B. 914 Before the Joint Comm. on Labor and Public Employees, 2015 Leg. (Conn. 2015). Copy supplied.

Civil Justice Clinic and Yale Law School Allard K. Lowenstein International Human Rights Clinic, Hearing on H.B. 6581 and S.B. 1062 Before the Joint Comm. on the Judiciary, 2013 Leg. (Conn. 2013). Copy supplied.

Civil Justice Clinic, Hearing on S.B. 453 Before the Joint Comm. on the Judiciary, 2012 Leg. (Conn. 2012). Copy supplied.

Civil Justice Clinic, Hearing on H.B. 5546 and S.B. 417 Before the Joint Comm. on the Judiciary, 2012 Leg. (Conn. 2012). I also provided oral testimony. Copies supplied.

Legal Clinic, Hearing on S.B. 279 Before the Joint Comm. on the Judiciary, 2012 Leg. (Conn. 2012). I also provided oral testimony. Copies supplied.

Civil Justice Clinic, Hearing on H.B. 5232 Before the Joint Comm. on Labor, 2012 Leg. (Conn. 2012). Copy supplied.

Other Testimony

Juvenile Sentencing Project, Hearing on S.B. 108, 969, 968, 966, 2019 Leg. (Ore. 2019). Copy supplied.

Connecticut Professors, Hearing on H.B. 5221 Before the Joint Comm. on the Judiciary, 2014 Leg. (Conn. 2014). Copy supplied.

Connecticut Professors, Hearing on H.B. 5546 Before the Joint Comm. on the Judiciary, 2012 Leg. (Conn. 2012). Copy supplied.

Connecticut Professors, Hearing on H.B. 6539 and H.B. 6475 Before the Joint Comm. on the Judiciary, 2011 Leg. (Conn. 2011). Copy supplied.

Amicus Briefs

Brief of amici curiae Juvenile Sentencing Project and other organizations filed in the Michigan Supreme Court in the case of *State v. Taylor*, No. 154994 (Feb. 2022). Brief supplied.

Brief of amici curiae Juvenile Sentencing Project and other organizations filed in the Michigan Supreme Court in the case of *State v. Stovall*, No. 162425 (Feb. 2022). Brief supplied.

Brief of amici curiae Juvenile Sentencing Project and other organizations filed in the Michigan Supreme Court in the case of *State v. Poole*, No. 161529 (Jan. 2022). Brief supplied.

Brief of amici curiae Juvenile Sentencing Project and other organizations filed in the U.S. Supreme Court in the case of *Mathena v. Malvo*, No. 18-217 (Aug. 2019). Brief supplied.

Brief of Scholars of Criminal Law as amici curiae filed in the U.S. Supreme Court in the case of *Jones v. State of Mississippi*, No. 18-1259 (May 2019). Brief supplied.

Brief of amici curiae Juvenile Sentencing Project and other organizations filed in the Michigan Supreme Court in the case of *State v. Stovall*, No. 158557 (Dec. 2018). Brief supplied.

Brief of the Juvenile Sentencing Project as amicus curiae filed in the U.S. Court of Appeals for the Third Circuit in the case of *United States v. Grant*, No. 16-3820 (Dec. 2018). Brief supplied.

Brief of Scholars of Criminal Law as amici curiae filed in the U.S. Supreme Court in the case of *Chandler v. State of Mississippi*, No. 18-203 (Oct. 2018). Brief supplied.

Brief of amici curiae Juvenile Sentencing Project and the Campaign for the Fair Sentencing of Youth filed in the Iowa Supreme Court in the case of *State v. Bonilla*, No. 18-0477 (Aug. 2018). Brief supplied.

Brief of Law Professors as amici curiae filed in the Court of Appeals for

Tennessee in the case of *Davis v. Tennessee Dept. of Corr.*, No. M2017-02301-COA-R3-CV (July 2018).

Brief Amici Curiae of Thirty-Three Professors as amici curiae filed in the U.S. Supreme Court in the case of *Coleman v. Tollefson*, No. 13-1333 (Dec. 2014). Brief supplied.

Brief of Legal Academics as amici curiae filed in the Supreme Court of Connecticut in the case of *Thiersaint v. Commissioner of Correction*, No. S.C. 19134 (Dec. 2013). Brief supplied.

Other Materials

With Linda Meyer, Letter to Attorney General Tong Regarding Commutation Power (July 2022). Copy supplied.

With FAMM, Comment on Proposed Rule, Home Confinement Under the Coronavirus Aid, Relief, and Economic Security (CARES) Act, 87 Fed. Reg. 36,787 (July 2022). Copy supplied.

Letter from advocates, professors, and students to House leadership, Connecticut General Assembly, regarding H.B. 5390 (Apr. 2022). Copy supplied.

Letter from Current and Former Public Defenders regarding the Nomination of Justice Ketanji Brown Jackson to the U.S. Supreme Court (Mar. 2022). I did not draft or edit this letter, but I signed my name to it. Copy supplied.

Letter from organizations to leadership of Government, Administration, and Elections Committee of Connecticut General Assembly regarding felony disenfranchisement (Feb. 2022). Copy supplied.

Letter from Organizations to Senate Judiciary Committee regarding judicial nomination process (July 2021). I did not draft or edit this letter, but I added the Civil Justice Clinic's name to it. Copy supplied.

Letters from organizations to state officials regarding access to voting (Sept. 2020). Copies supplied.

Transition Policy Committee on Criminal Justice, Materials Presented to Governor Ned Lamont's Transition Team (Dec. 2018). Copies supplied.

Letter from Law Professors to U.S. Senators regarding the nomination of Justice Brett Kavanaugh to the U.S. Supreme Court (Oct. 2018). I did not draft or edit this letter, but I signed my name to it. Copy supplied.

Open Letter from Women of Yale regarding the nomination of Justice Brett

Kavanaugh to the U.S. Supreme Court (Sept. 2018). I did not draft or edit this letter, but I signed my name to it. Copy supplied.

Letter from Law Professors to Connecticut General Assembly Senators on Confirmation Process (Mar. 2018). I did not draft or edit this letter, but I signed my name to it. Copy supplied.

Letter from Law Professors to the Hon. Charles E. Grassley and the Hon. Dianne G. Feinstein regarding the nomination of Jeff Sessions as Attorney General (Jan. 2017). I did not draft or edit this letter, but I signed my name to it. Copy supplied.

Letter from Law Professors to Rep. Sharkey and Sen. Looney, Connecticut General Assembly, re pending real estate taxation bill (Apr. 2016). I did not draft or edit this letter, but I signed my name to it. Copy supplied.

With Linda Meyer, Memorandum to Sen. Coleman and Rep. Fox, Connecticut General Assembly, regarding juvenile sentencing legislation in other states (May 2013). Copy supplied.

Emails to members of the Connecticut General Assembly regarding juvenile sentencing legislation (Feb. 2013, Apr. 2013). Copies supplied. (Same emails sent to other legislators as well).

Letter to Sen. Coleman, Connecticut General Assembly, regarding commutation data (Mar. 2012). Copy supplied.

Statement from Legal Community regarding rule of law in Pakistan (Nov. 2007). I did not draft or edit this statement, but I signed my name to it. Copy supplied.

Letter from Former Law Clerks to U.S. Senators regarding the nomination of Michael B. Mukasey as Attorney General (Sept. 2007). I did not draft or edit this letter, but I signed my name to it. Copy supplied.

Testimony Submitted by Colleagues

The following testimony was submitted by other attorneys/professors at Quinnipiac University School of Law along with Legal Clinic/Civil Justice Clinic students during the time period when I have been at Quinnipiac. I had no role in drafting, editing, or reviewing the testimony, or in approving the position taken in the testimony.

Hearing on H.B. 6657 Before the Joint Comm. on the Judiciary, 2021 Leg. (Conn. 2021). Copy supplied.

Hearing on H.B. 6695 Before the Joint Comm. on Public Health, 2017 Leg. (Conn. 2017). Copy supplied.

Hearing on H.B. 7006 Before the Joint Comm. on Public Health, 2015 Leg. (Conn. 2015).

Hearing on H.B. 5524 Before Joint Comm. on the Judiciary, 2014 Leg. (Conn. 2014). Copy supplied.

Hearing on H.B. 6641 Before Joint Comm. on the Judiciary, 2013 Leg. (Conn. 2013). Copy supplied.

Hearing on S.B. 280 Before the Joint Comm. on the Judiciary, 2012 Leg. (Conn. 2012).

d. Supply four (4) copies, transcripts or recordings of all speeches or talks delivered by you including commencement speeches, remarks, lectures, panel discussions, conferences, political speeches, and question-and-answer sessions. Include the date and place where they were delivered, and readily available press reports about the speech or talk. If you do not have a copy of the speech or a transcript or recording of your remarks, give the name and address of the group before whom the speech was given, the date of the speech, and a summary of its subject matter. If you did not speak from a prepared text, furnish a copy of any outline or notes from which you spoke.

May 13, 2022: Panelist, Clinic Advocacy During and Post COVID: Collaboration Across Clinics, 2022 Conference on Clinical Legal Education, Association of American Law Schools (virtual). I have no notes, transcript, or recording. The panel addressed law clinic advocacy and collaboration during COVID and looking forward. The address for the Association of American Law Schools is 1614 20th Street, Northwest, Washington, DC 20009.

April 9, 2022: Panelist, What Comes Next? Law Schools, Centers, Fellowships, and Funding: A Critical Assessment in Complex Times, Arthur Liman Center for Public Interest Law and Jerome N. Frank Legal Services Organization, Yale Law School, New Haven, Connecticut. The panel addressed the role of law schools in supporting public service. I have no notes, transcript, or recording, but press coverage is supplied. The address for the Liman Center at Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

April 8, 2022: Moderator, Confronting, Collaborating, Coordinating and Disagreeing with Government, Arthur Liman Center for Public Interest Law and Jerome N. Frank Legal Services Organization, Yale Law School, New Haven, Connecticut. The panel was on the work of lawyers in government and interacting with government. I have no notes, transcript, or recording. The address for the Liman Center at Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

April 9, 2021: Panelist, Making Sustainable Dents (and Leading Sustainable

Lives)", Coping with Unimaginable Challenges and Exploring New Opportunities, Twenty-Fourth Annual Liman Colloquium, Liman Public Interest Center, Yale Law School, New Haven, Connecticut (virtual). The panel addressed public interest work during the pandemic and new pressures and challenges experienced. I have no notes, transcript, or recording. The address for the Liman Center at Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

February 23, 2021: Panelist, The Cost of Incarceration, Building Bridges: Connecticut at a Crossroads, Institute for Municipal and Regional Policy, University of Connecticut, New Britain, Connecticut (virtual). Video available at https://vimeo.com/517280735.

October 15, 2020: Prisons in a Pandemic, Litigating Health, Safety, and COVID for Incarcerated People, Liman Public Interest Center, Yale Law School, New Haven, Connecticut (virtual). Notes supplied.

April 15, 2020: Panelist, Managing the Criminal Justice System in a Public Health Pandemic, the Connecticut Reentry Collaborative, Institute for Municipal and Regional Policy, Central Connecticut State University, New Britain, Connecticut (virtual). Video available at https://vimeo.com/channels/1727175.

May 6, 2019: Panelist, Direct Client Services and Law Reform—Clinics Tackling Two Big Jobs, 2019 Conference on Clinical Legal Education, Association of American Law Schools, San Francisco, California. The panel addressed balancing direct client work with law reform work within a clinical course. I have no notes, transcript, or recording. The address for the Association of American Law Schools is 1614 20th Street, Northwest, Washington, DC 20009.

January 23, 2019: Panelist, Hope for Success: Returning Home, The Commission on Women, Children, Seniors, Equity & Opportunity, Connecticut General Assembly, Hartford, Connecticut. Video available at https://ct-n.com/ctnplayer.asp?odID=10869.

December 18, 2018: Presenter, Criminal Justice Reform Recommendations, Transition Policy Committee on Criminal Justice for Governor Lamont, Hartford, Connecticut. The presentation addressed recommendations of the Committee. I have no notes, transcript, or recording, but press coverage is supplied. The address for the Governor's office is State Capitol, 210 Capitol Avenue, Hartford, Connecticut 06106.

October 19, 2017: Moderator, Prosecutor and Public Defender Perspectives on Reform, Connecticut Sentencing Commission Symposium on Pretrial Justice: Legal and Evidence-Based Practices, Hartford, Connecticut. Video available at https://vimeo.com/241818433?embedded=true&source=vimeo_logo&owner=548 3766.

June 15, 2017: Moderator, Pretrial and Bail Reform Initiatives, Reimagining Justice: A Conversation on the National Impact of Crime and Incarceration, Hosted by Governor Dannel P. Malloy & First Lady Cathy Malloy, Hartford, Connecticut. Notes and press coverage supplied.

April 7, 2017: Moderator, Entrepreneurial Public Interest, Liman at 20: Public Interest(s), Twentieth Annual Liman Colloquium, Liman Public Interest Center, Yale Law School, New Haven, Connecticut. The panel addressed innovations in public interest law work. I have no notes, transcript, or recording, but press coverage is supplied. The address for the Liman Center at Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

October 15, 2016: Panelist, The Role of University-Produced Scholarship in Public Policy, The Role of the University in the Era of Mass Incarceration, 15th Annual Shasha Seminar for Human Concerns, Wesleyan University, Middletown, Connecticut. Notes supplied.

May 1, 2016: Panelist, Clinic-Community Partnerships: Practical Tips, Pitfalls and Pedagogy, 2016 Conference on Clinical Legal Education, Association of American Law Schools, Baltimore, Maryland. I have no notes, transcript, or recording. The address for the Association of American Law Schools is 1614 20th Street, Northwest, Washington, DC 20009.

April 1, 2016: Panelist, Abolition: Capital Punishment & LWOP, Moving Criminal Justice, Nineteenth Annual Liman Colloquium, Liman Public Interest Center, Yale Law School, New Haven, Connecticut. The panel addressed reform efforts relating to capital punishment and life-without-parole sentences as well as other sentencing reforms. I have no notes, transcript, or recording, but press coverage is supplied. The address for the Liman Center at Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

February 24, 2016: Moderator, "Wearing the White Hat": A Conversation with Two United States Attorneys About Criminal Justice Past, Present, and Future, Quinnipiac University School of Law, North Haven, Connecticut. I moderated a panel with the U.S. Attorneys of Connecticut and New Jersey, who discussed their experiences and perspectives on the future of their offices' work. I have no notes, transcript, or recording. The address for Quinnipiac University School of Law is 275 Mt. Carmel Avenue, Hamden, Connecticut 06518.

November 6, 2015: Panelist, Sentencing, The Other One Percent: Prison Reform from Sentencing to Parole, Connecticut Law Review Symposium, University of Connecticut School of Law. Video available at https://ct-n.com/ctnplayer.asp?odID=7345.

October 21, 2015: Panelist, Juvenile Sentencing: Recent Developments and the Future of Juvenile Justice in Connecticut, Continuing Legal Education Seminar, Connecticut Bar Association, New Britain, Connecticut. PowerPoint supplied.

April 22, 2015: Panelist, Considerations & Recent Developments in the Sentencing of Fraud Crimes, Federal Practice Section, Connecticut Bar Association, New Haven, Connecticut. PowerPoint supplied.

April 7, 2015: Panelist, Juvenile Sentencing Reform, Dwight Hall, Yale College, New Haven, Connecticut. The event was hosted by the Yale College Democrats, the Yale Undergraduate Prison Project and Project Youth Court. I have no notes, transcript, or recording, but press coverage is supplied. The address for Dwight Hall is 67 High Street, Yale Old Campus, New Haven, Connecticut 06511.

November 1, 2014: Presenter, Jury Sentencing and Juveniles: Eighth Amendment Limits and Sixth Amendment Rights, Prison Law and Policy Roundtable, UCLA School of Law, Los Angeles, California. A copy of the paper on which the discussion was based is supplied in response to Question 12a.

October 21, 2014: Presenter, Jury Sentencing and Juveniles: Eighth Amendment Limits and Sixth Amendment Rights, Yale Law Women, Developing Scholarship Program, Yale Law School, New Haven, Connecticut. A copy of the paper on which the discussion was based is supplied in response to Question 12a.

August 5, 2014. Panelist, Certificates of Rehabilitation: Addressing the Collateral Consequences of Convictions, National Association of Sentencing Commissions, Annual Conference, Yale Law School, New Haven, Connecticut. Notes supplied.

April 1, 2014: Presenter, Juvenile Sentencing Reform, Trinity College, Hartford, Connecticut. PowerPoint supplied.

January 14, 2014, Where Are We Now? Stories from the Front Line, Building Bridges Revisited: A Decade of Connecticut Re-entry Policy Explored and Challenged with an Eye to the Future, Institute for Municipal and Reginal Policy, Central Connecticut State University, New Britain, Connecticut. I have no notes, transcript, or recording. The address for Institute for Municipal and Reginal Policy is UConn Hartford, Hartford Times Building, Room 550, 10 Prospect Street, Hartford, Connecticut 06103.

February 11, 2014: Discussant, The Color of Justice, Quinnipiac University School of Law, Hamden, Connecticut. I participated in a screening and discussion of a film about juvenile justice and racial disparities. I have no notes, transcript, or recording. The address for Quinnipiac University School of Law is 275 Mt. Carmel Avenue, Hamden, Connecticut 06518.

January 16, 2014: Panelist, Law Professors in the Legislative Process, Legislative Commissioner's Office, Connecticut General Assembly. The panel addressed the role that law professors have played in the state legislative process. I have no notes, transcript, or recording. The address for the Legislative Commissioner's Office is 300 Capitol Avenue, Hartford, Connecticut 06106.

July 30, 2013: Moderator, Mayoral Forum on Prisoner Reentry Policies, New Haven Reentry Roundtable, New Haven, Connecticut. Notes and press coverage supplied.

February 23, 2013: Panelist, Juvenile Justice and Sentencing Reform in Connecticut: A Look at Ongoing Advocacy Efforts, The Nineteenth Annual Rebellious Lawyering Conference, Yale Law School, New Haven, Connecticut. The panel addressed proposed reforms relating to juvenile sentencing in Connecticut. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

February 2013 (specific date unknown): Panelist, Clinical Legal Education, Law Teaching Series, Yale Law School, Yale Law School, New Haven, Connecticut. The panel addressed clinical teaching. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

November 15, 2012, Panelist, Developing a Legislative Strategy Post-*Miller*: Mounting a Defense, Compromise, and Advancing Comprehensive Legislation, National Convening, Campaign for the Fair Sentencing of Youth, Washington, DC. I have no notes, transcript, or recording. The address for the Campaign for the Fair Sentencing of Youth is 1319 F Street, Northwest, Suite 303, Washington, DC 20004.

October 6, 2012: Presenter, "A Second Look" at Lifetime Incarceration: Narratives of Rehabilitation and Juvenile Offenders, Austin Sarat on Punishment and Sentencing: The Eighth Conference on Law and Philosophy, Quinnipiac University School of Law, Hamden, Connecticut. Notes and PowerPoint supplied.

April 2012: Panelist, Juvenile Justice, Sentencing Workshop, Yale Law School, New Haven, Connecticut. The workshop addressed the sentencing of juveniles. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

March 1, 2012, Panelist, The Relationship Between Public Interest and the Academy, Fifteenth Annual Liman Colloquium, Liman Public Interest Program, Yale Law School, New Haven, Connecticut. The panel addressed the role of law schools in supporting public interest work. I also made comments at a dinner event. I have no notes, transcript, or recording, but press coverage is supplied. The

address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

October 4, 2011: Commentator, Inventing Democratic Courts, Quinnipiac University School of Law, Hamden, Connecticut. The panel addressed the architecture of courts and public access to legal proceedings. I have no notes, transcript, or recording, but press coverage is supplied. The address for Quinnipiac University School of Law is 275 Mt. Carmel Avenue, Hamden, Connecticut 06518.

April 2011 (specific date unknown): Panelist, Sentencing Enhancements, Mandatory Minimums, and Recidivism, Sentencing Workshop, Yale Law School. The workshop addressed recidivist sentencing enhancements. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

March 30, 2011: Panelist, Poverty and the Law, Quinnipiac University School of Law, Hamden, Connecticut. The panel addressed legal needs and access to counsel for indigent people. I have no notes, transcript, or recording. The address for Quinnipiac University School of Law is 275 Mt. Carmel Avenue, Hamden, Connecticut 06518.

January 2011 (specific date unknown): Moderator, Juries and Criminal Sentencing: Should Our Juries Have More Say?, Juries and Justice Forum, Quinnipiac University School of Law, Hamden, Connecticut. I have no notes, transcript, or recording, but press coverage is supplied. The address for Quinnipiac University School of Law is 275 Mt. Carmel Avenue, Hamden, Connecticut 06518.

November 17, 2010: Panelist, Transitioning to Academia, Law Teaching Series, Yale Law School, New Haven, Connecticut. The panel addressed paths to becoming a law professor. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

March 4, 2010: Introduction, Imprisoned, Thirteenth Annual Liman Colloquium, Yale Law School, New Haven, Connecticut. I provided a welcome to conference participants. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

March 4, 2010: Moderator, Suing on Behalf of Federal Prisoners: From Private Prisons to Terre Haute, Prisoners' Rights Litigation: A Workshop for Advocates, Yale Law School, New Haven, Connecticut. The panel addressed prison conditions claims and remedies for people incarcerated in federal prisons. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

October 2009 (specific date unknown): Presenter, The *Shepard* Revolution, Part II: Challenging Second Offender Notices, Training for Criminal Justice Act Attorneys, Federal Public Defender's Office, New Haven, Connecticut. The training addressed challenges to recidivist enhancements in federal cases. I have no notes, transcript, or recording. The address for the Federal Public Defender's Office is 10 Columbus Boulevard, Floor 6, Hartford, Connecticut 06106.

May 2009 (specific date unknown): Panelist, Lawyering Skills: A Crash Course in Legal Writing, Research, and Practice, Yale Law School. The panel provided tips on legal research and writing. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

April 30, 2009: Presenter, The *Shepard* Revolution: Challenging the Use of Prior Convictions to Enhance Federal Sentences, Training for Criminal Justice Act Attorneys, Federal Public Defender's Office, New Haven, Connecticut. (The same training was held in Hartford, Connecticut in June 2009). PowerPoint supplied.

March 11, 2009: Panelist: Overcoming Barriers to Immigrant Representation: Exploring Solutions, A Working Colloquium, Fordham Law School, New York, New York. The panel explored ways to expand access to counsel for indigent immigrants. I have no notes, transcript, or recording. The address for Fordham Law School is 150 West 62nd Street, New York, New York 10023.

March 6, 2009: Moderator, Detained Clients: From Danbury and Connecticut Valley Hospital to Immigration Jails and Guantánamo, Forty Years of Clinical Education at Yale: Generating Rights, Remedies, and Legal Services, Twelfth Annual Liman Colloquium, Yale Law School, New Haven, Connecticut. The panel addressed the Yale clinic's history of providing representation to detained clients. I also welcomed conference participants on March 5, 2009. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

Fall 2008 (specific dates unknown): Co-Convener, Public Interest: Past, Present, and Future: A Series on Public Interest and the Law, Yale Law School, New Haven, Connecticut. I helped to convene a series of discussions related to public interest law. I have no notes, transcripts, or recordings. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

September 24, 2008: Panelist, The Connecticut Appellate Court: A Panel Discussion, University of New Haven, New Haven, Connecticut. The panel addressed arguments held by the Connecticut Appellate Court at the University of New Haven. I have no notes, transcript, or recording. The address for the University of New Haven is 300 Boston Post Road, West Haven, Connecticut 06516.

March 7, 2008: Introduction, Liman at the Local Level: Public Interest Advocacy and American Federalism, Eleventh Annual Liman Colloquium, Yale Law School, New Haven, Connecticut. I welcomed conference participants. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

November 2007 (specific date unknown): Moderator, Prison Reform Litigation: From *Ruiz v. Estelle* to Now, Yale Law School. The panel addressed prison conditions litigation. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

October 16, 2007: Moderator, Defining a Women's Rights Agenda for the 21st Century: New Strategies for Advancing Women's Equality in Light of the Supreme Court's Rollback of Civil Rights, Yale Women Faculty Forum, Yale Law School, New Haven, Connecticut. The panel addressed women's rights issues. I have no notes, transcript, or recording. The address for the Yale Women Faculty Forum is 205 Whitney Avenue, Suite 301B, New Haven, Connecticut, 06511.

September 2007 (specific date unknown): Panelist, Being a Public Defender, Yale Law School, New Haven, Connecticut. The panel addressed public defender work. I have no notes, transcript, or recording. The address for Yale Law School is P.O. Box 208215, New Haven, Connecticut 06520.

April 2005 (specific date unknown): Presenter, Federal Appellate Advocacy and Sentencing Post-*United States v. Booker*, Annual Criminal Justice Act Seminar, Federal Public Defender's Office, Westbrook, Connecticut. The presentations gave tips to practitioners on appellate advocacy and federal sentencing in an advisory guideline regime. I have no notes, transcript, or recording. The address for the Federal Public Defender's Office is 10 Columbus Boulevard, Floor 6, Hartford, Connecticut 06106.

e. List all interviews you have given to newspapers, magazines or other publications, or radio or television stations, providing the dates of these interviews and four (4) copies of the clips or transcripts of these interviews where they are available to you.

Carrie Johnson, *Released during COVID*, some people are sent back to prison with little or no warning, National Public Radio, Aug. 22, 2022. Transcript and recording available at https://www.npr.org/transcripts/111813238 and copy of online article supplied.

John Henry Smith, *Two law professors hope to keep prisons from reclaiming pandemic parolees*, NPR, Connecticut Public Radio, May 23, 2022. Recording available at https://www.ctpublic.org/news/2022-05-23/two-law-professors-hope-

to-keep-prisons-from-reclaiming-pandemic-parolees.

Tiffany Cusaac-Smith, *Prisoners sent home over COVID back behind bars; Many reimprisoned for 'minor' infractions, upending the lives they had tried to reestablish*, USA Today, July 25, 2022. Copy supplied (reprinted in multiple outlets).

Tiffany Cusaac-Smith, *They were released from prison because of COVID-19. Their freedom didn't last long*, USA Today Online, July 20, 2022. Copy supplied.

Lisa Backus, 'There's no due process': Three Danbury prison inmates question home confinement revocation, The News-Times, May 5, 2022. Copy supplied (reprinted in multiple outlets).

Lisa Backus, *Danbury prison inmates file lawsuit over home confinement getting revoked*, The News-Times, May 5, 2022. Copy supplied (reprinted in multiple outlets).

Lisa Backus, *ACLU Files Lawsuit*, *Says Cost of Incarceration Is Too High*, CT News Junkie, Mar. 14, 2022. Copy supplied (reprinted in multiple outlets).

Lisa Backus, *Coalition Seeks Restoration Of Voting Rights*, CT News Junkie, Feb. 28, 2022. Copy supplied (reprinted in multiple outlets).

Currie Engel, Connecticut's senators wanted to investigate COVID concerns in Danbury's prison. They were denied full access, The News-Times, Jan. 27, 2022. Copy supplied (reprinted in multiple outlets).

Currie Engel, Reports from FCI Danbury show little change since legislators call for change, The News-Times Online, Jan. 16, 2022. Copy supplied (reprinted in multiple outlets).

Currie Engel, FCI Danbury sees 'really large numbers' of COVID cases amid accusations of 'inadequate practices', The News-Times, Jan. 9, 2022. Copy supplied (reprinted in multiple outlets).

Julie Perkins, *Investigation into Danbury prison COVID conditions called for by delegation: 'Shockingly reckless'*, The News-Times, Jan. 5, 2022. Copy supplied (reprinted in multiple outlets).

Lisa Backus, Lawsuit: Unvaccinated woman convicted in \$10M Ponzi scheme a 'sitting duck' for COVID at CT prison, New Haven Register, Jan. 3, 2022. Copy supplied (reprinted in multiple outlets).

Lisa Backus, Commission Wants To End Claw-Backs From Inmates, CT News Junkie, Nov. 18, 2021. Copy supplied (reprinted in multiple outlets).

Lisa Backus, *Advocates Seek To Change Law Regarding The Cost of Incarceration*, CT News Junkie, Nov. 1, 2021. Copy supplied (reprinted in multiple outlets).

Yale Law School, *Liman Center Looks Back: Sarah F. Russell '02*, Mar. 5, 2021. Copy supplied.

Dave Collins and Susan Haigh, *Federal inmates settle lawsuit over coronavirus precautions*, Associated Press, July 29, 2020. Copy supplied (reprinted in multiple outlets).

Yale Law School, *Clinic Reaches Settlement Agreement in Bureau of Prisons COVID-19 Case*, July 28, 2020. Copy supplied.

Kelan Lyons, *Lawsuit filed to protect Danbury inmates from COVID-19*, CT Mirror, Apr. 28, 2020. Copy supplied (reprinted in multiple outlets).

Yale Law School, *Clinic Class Action Filed Against Federal Bureau of Prisons*, Apr. 28, 2020. Copy supplied (reprinted in multiple outlets).

Kelan Lyons, Casting a ballot from behind bars: No easy process - Commission to look at barriers faced by incarcerated eligible voters, CT Mirror, Oct. 3, 2019. Copy supplied (reprinted in multiple outlets).

Worth Rises, Criminal justice advocates, community members celebrate passage of #PRISONPHONEJUSTICE bill - HB 6714 passes Committee vote, moves Connecticut one step closer to prison phone justice, Apr. 9, 2019. Copy supplied.

Yale Law School, New Public Interest Fellowship Honors Professors Resnik and Curtis, Apr. 18, 2017. Copy supplied.

Beth Schwartzapfel, When Parole Boards Trump the Supreme Court - The high court said kids shouldn't face mandatory sentences of life without parole, but some prisoners' fates are still in the hands of politics, Mother Jones, May 19, 2016. Copy supplied (reprinted in multiple outlets).

Christian Nolan, Conn. Supreme Court Ruling Could Prompt Sentencing Appeals, Connecticut Law Tribune, June 3, 2015. Copy supplied.

Christian Nolan, Ruling Expands Rights of Juveniles to Appeal Sentences; Conn. Justices Decide to Apply Landmark U.S. Supreme Court Decision Retroactively, Connecticut Law Tribune, June 1, 2015. Copy supplied.

Daniela Altimari, *Passage Predicated for Parole Bill*, Hartford Courant, Mar. 7, 2015. Copy supplied (reprinted in multiple outlets).

Michelle Hackman, *Should Nick Get A 'Second Look'?*, New Haven Independent, Mar. 3, 2015. Copy supplied (reprinted in multiple outlets).

Ed Stannard, Connecticut 'Second Look' bill 'a question of justice' for youthful offenders, New Haven Register, Feb. 3, 2015. Copy supplied (reprinted in multiple outlets).

New Haven Register Editorial Board Meeting on February 3, 2015. Recording available at https://www.youtube.com/watch?v=P-wwD2e3O14; https://www.youtube.com/watch?v=likhapYOkfQ; https://www.youtube.com/watch?v=VuBp3EkbLsE.

Rich Scinto, Windfalls of former inmates targeted by Connecticut - Collections grew to \$5.1 million last year, New Haven Register, Aug. 2, 2014. Copy supplied (reprinted in multiple outlets).

Jay Stapleton and Karen Ali, Former Female Federal Inmates Push For Sentencing Reforms, Connecticut Law Tribune, June 30, 2014. Copy supplied.

AT&T Fellowship program expands reach of Quinnipiac University School of Law's Civil Justice Clinic, The Patch, Mar. 12, 2014. Copy supplied.

Quinnipiac University School of Law, Russell named one of "New Leaders in the Law", Nov. 5, 2013. Copy supplied.

Karen Ali, Federal Prison Officials Will Answer Questions Before Moving Women, Connecticut Law Tribune, Aug. 16, 2013. Copy supplied.

Ed Stannard, *Obama nominates Quinnipiac law professor to serve as judge on U.S. District Court for Connecticut*, New Haven Register, June 7, 2013. Copy supplied.

Jeff Cohen, *New Sentencing Proposals For Young People Convicted of Serious Crimes*, WNPR, Connecticut Public Radio, Apr. 22, 2013. Recording available at https://www.ctpublic.org/politics/2013-04-22/new-sentencing-proposals-for-young-people-convicted-of-serious-crimes.

Jacqueline Rabe Thomas, *Proposal Takes Aim at Long Sentences; State weighs changes in light of U.S. Supreme Court rulings*, Connecticut Law Tribune, Nov. 16, 2012. Copy supplied.

Jacqueline Rabe Thomas, Changes eyed for sentences of juveniles; 'This would affect a significant number of prisoners', The Chronicle, Nov. 13, 2012. Copy supplied (reprinted in multiple outlets).

John Pettit, *Lengthy Juvenile Sentences Examined*, Quinnipiac Magazine, Fall 2012. Copy supplied.

Brian Lockhart & Ken Dixon, *Court ruling mirrors state reforms*, New Haven Register, June 28, 2012. Copy supplied (reprinted in multiple outlets).

Jacqueline Rabe Thomas, Connecticut; Ruling on life imprisonment targets specific inmates only, Record-Journal, June 27, 2012. Copy supplied (reprinted in multiple outlets).

Marie P. Grady, AT&T Has The Right Number For Law Student - \$5,000 fellowship will help Civil Justice Clinic assist more clients, Connecticut Law Tribune, Dec. 12, 2011. Copy supplied.

Donna Pintek, Fighting for Rights: New Professor Wants to See Justice for Prisoners, Quinnipiac University School of Law Magazine, Winter 2011. Copy supplied.

Thomas B. Scheffey, Court Puts Limits On Prison Pat-Downs; Case of Muslim inmate in Danbury could have national impact, Connecticut Law Tribune, July 19, 2010. Copy supplied.

Yale Law School, A Full and Engaged Life, Aug. 24, 2007. Copy supplied.

David Lipowicz, Yale women's hockey show hope for future in pair of defeats, Yale Daily News, Mar. 3, 1998. Copy supplied.

Stevan Nicholas, *Yale's Belliveau nears the end of her historic career*, Yale Daily News, Feb. 26, 1998. Copy supplied.

David Lipowicz, *Yale hockey post second ECAC victory of season*, Yale Daily News, Feb. 25, 1998. Copy supplied.

Alex Taylor, Yale's women's hockey team swept by Cornell, St. Lawrence, Yale Daily News, Feb. 10, 1998. Copy supplied.

Frances Grandy Taylor, *Yale Professor Found Guilty of Sexual Harassment*, Hartford Courant, Nov. 9, 1996. Copy supplied (reprinted in multiple outlets).

Jamie Phares, *Ivy League women react to 'Playboy' spread*, The Daily Pennsylvanian, Nov. 17, 1995. Copy supplied.

The New York Times, *A Different Look At the Ivy League*, The New York Times, Nov. 12, 1995. Copy supplied (reprinted in multiple outlets).

13. <u>Judicial Office</u>: State (chronologically) any judicial offices you have held, including

positions as an administrative law judge, whether such position was elected or appointed, and a description of the jurisdiction of each such court.

I have not held judicial office.

a.	Approximately how many cases have you presided over that have gone to verdic or judgment?		
	i.	Of these cases, approximately what percent were:	
		jury trials: bench trials:	% % [total 100%]
	ii.	Of these cases, approximately what percent were:	
		civil proceedings: criminal proceedings:	% % [total 100%]

- b. Provide citations for all opinions you have written, including concurrences and dissents.
- c. For each of the 10 most significant cases over which you presided, provide: (1) a capsule summary of the nature of the case; (2) the outcome of the case; (3) the name and contact information for counsel who had a significant role in the trial of the case; and (4) the citation of the case (if reported) or the docket number and a copy of the opinion or judgment (if not reported).
- d. For each of the 10 most significant opinions you have written, provide: (1) citations for those decisions that were published; (2) a copy of those decisions that were not published; and (3) the names and contact information for the attorneys who played a significant role in the case.
- e. Provide a list of all cases in which certiorari was requested or granted.
- f. Provide a brief summary of and citations for all of your opinions where your decisions were reversed by a reviewing court or where your judgment was affirmed with significant criticism of your substantive or procedural rulings. If any of the opinions listed were not officially reported, provide copies of the opinions.
- g. Provide a description of the number and percentage of your decisions in which you issued an unpublished opinion and the manner in which those unpublished opinions are filed and/or stored.
- h. Provide citations for significant opinions on federal or state constitutional issues, together with the citation to appellate court rulings on such opinions. If any of the

- opinions listed were not officially reported, provide copies of the opinions.
- i. Provide citations to all cases in which you sat by designation on a federal court of appeals, including a brief summary of any opinions you authored, whether majority, dissenting, or concurring, and any dissenting opinions you joined.
- 14. **Recusal:** If you are or have been a judge, identify the basis by which you have assessed the necessity or propriety of recusal (If your court employs an "automatic" recusal system by which you may be recused without your knowledge, please include a general description of that system.) Provide a list of any cases, motions or matters that have come before you in which a litigant or party has requested that you recuse yourself due to an asserted conflict of interest or in which you have recused yourself sua sponte. Identify each such case, and for each provide the following information:

I have not held judicial office.

- a. whether your recusal was requested by a motion or other suggestion by a litigant or a party to the proceeding or by any other person or interested party; or if you recused yourself sua sponte;
- b. a brief description of the asserted conflict of interest or other ground for recusal;
- c. the procedure you followed in determining whether or not to recuse yourself;
- d. your reason for recusing or declining to recuse yourself, including any action taken to remove the real, apparent or asserted conflict of interest or to cure any other ground for recusal.

15. Public Office, Political Activities and Affiliations:

a. List chronologically any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. If appointed, please include the name of the individual who appointed you. Also, state chronologically any unsuccessful candidacies you have had for elective office or unsuccessful nominations for appointed office.

I have not held public office.

b. List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities.

None.

16. Legal Career: Answer each part separately.

- a. Describe chronologically your law practice and legal experience after graduation from law school including:
 - i. whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;

From 2002 to 2003, I served as a law clerk to the Honorable Michael B. Mukasey of the United States District Court for the Southern District of New York.

From 2003 to 2005, I served as a law clerk to the Honorable Chester J. Straub of the United States Court of Appeals for the Second Circuit.

ii. whether you practiced alone, and if so, the addresses and dates;

I have not practiced alone.

iii. the dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been affiliated, and the nature of your affiliation with each;

2005 – 2007 Office of the Federal Public Defender 265 Church Street, Suite 702 New Haven, Connecticut Assistant Federal Public Defender

2007 – 2010
Yale Law School
P.O. Box 208215
New Haven, Connecticut 06520
Director of Arthur Liman Public Interest Program
Lecturer in Law
Associate Research Scholar in Law

2011 – present
Quinnipiac University School of Law
275 Mt. Carmel Avenue
Hamden, Connecticut 06518
Assistant Professor of Law (2011 – 2013)
Associate Professor of Law (2013 – 2015)
Professor of Law (2015 – present)
Director of Legal Clinic (2019 – present)
Director of Criminal Law and Advocacy Concentration (2015 – present)
Member of Executive Committee (2016 – present)

iv. whether you served as a mediator or arbitrator in alternative dispute resolution proceedings and, if so, a description of the 10 most significant matters with which you were involved in that capacity.

I have not served as a mediator or arbitrator.

b. Describe:

i. the general character of your law practice and indicate by date when its character has changed over the years.

In 2005, after completing clerkships with the Honorable Michael B. Mukasey of the U.S. District Court for the Southern District of New York and the Honorable Chester J. Straub of the U.S. Court of Appeals for the Second Circuit, I joined the Office of the Federal Public Defender in Connecticut as an Assistant Federal Public Defender. There, I represented indigent clients facing federal criminal charges at all stages of cases including presentments, arraignments, detention hearings, plea proceedings, suppression hearings, trials, sentencings, appeals, and probation/supervised release hearings. I typically appeared in federal court multiple times a week.

In 2007, I moved to the position of Director of the Liman Public Interest Program at Yale Law School. In that role, I helped to launch dozens of law graduates into public interest fellowships, co-taught seminars related to access to law, and organized colloquia that brought together students, scholars, experts, litigants on all sides of the issues, and judges so that they could have focused discussions on how to improve our justice system in a range of settings. While at Yale, I also had the opportunity to co-teach clinical courses and supervise students in litigation on behalf of indigent clients in state and federal courts, as well as co-teach a class and workshops on sentencing in the federal courts.

In 2011, I began teaching at Quinnipiac University School of Law. In 2015, I was granted tenure. At Quinnipiac, I serve as Director of the Legal Clinic. Through the Clinic, where I supervise students representing clients in a range of civil and post-conviction matters, I teach students to be ethical and competent members of the legal profession. With students, I have conducted trials and hearings in state and federal court and have appeared frequently at proceedings before a variety of administrative bodies. My work involves extensive research and writing, oral advocacy, client counseling, and supervision of students in all of these activities. At Quinnipiac, I also direct the Criminal Law and Advocacy Concentration, serve on the Executive Committee, and teach a non-clinical course focusing on sentencing and reentry.

In addition, I serve as Counsel to the Federal Grievance Committee for the U.S. District Court for the District of Connecticut. I am a member of the Connecticut Sentencing Commission and previously served on the Connecticut Committee on Judicial Ethics and on the District Court's Standing Committee on the Criminal Justice Act.

ii. your typical clients and the areas at each period of your legal career, if any, in which you have specialized.

Throughout my career, my clients have always been indigent individuals who cannot afford to hire counsel.

From 2005 to 2007, at the Federal Public Defender's Office, my cases all involved clients charged with federal crimes and eligible for appointment of counsel under the Criminal Justice Act, 18 U.S.C. § 3006A.

From 2007 to 2010, while at Yale Law School, my litigation focused primarily on civil cases relating to prison conditions. I also did some work on criminal cases in collaboration with the state and federal public defenders and on U.S. Supreme Court cases with Yale's Supreme Court Advocacy Clinic.

From 2011 to present, while at Quinnipiac, I have represented indigent clients in a variety of civil matters relating to issues such as unpaid wages, child support, housing, unemployment benefits, prison conditions, and barriers to reentry. I have also helped clients seek sentence modification, parole, pardons, and home confinement.

c. Describe the percentage of your practice that has been in litigation and whether you appeared in court frequently, occasionally, or not at all. If the frequency of your appearances in court varied, describe such variance, providing dates.

My work related to clinical teaching at Quinnipiac and Yale, as well as my time at the Federal Public Defender's office, means that about three quarters of my practice has been in litigation. In terms of time in court, I was most regularly in court when I was at the Federal Public Defender's office from 2005 to 2007. From 2020 to late 2021, I served as counsel in a large class action and therefore appeared in federal court many times. Aside from this litigation, my appearances in court have been frequent but more episodic.

i. Indicate the percentage of your practice in:

1.	federal courts:	65%
2.	state courts of record:	15%
3.	other courts:	0%
4.	administrative agencies:	20%

ii. Indicate the percentage of your practice in:

1. civil proceedings:

80%

2. criminal proceedings:

20%

d. State the number of cases in courts of record, including cases before administrative law judges, you tried to verdict, judgment or final decision (rather than settled), indicating whether you were sole counsel, chief counsel, or associate counsel.

While at the Federal Public Defender's office, I had five jury trials in federal criminal cases. I was chief counsel in one case and associate counsel in the other four. After leaving the Federal Public Defender's office, I was associate counsel in a religious freedom case where my client prevailed after a bench trial in federal court. In addition, as chief counsel, I tried a civil rights case to verdict before a jury in federal court. In addition to my work in federal court, I have litigated cases in Connecticut Superior Court. I was chief counsel in a bench trial for an unpaid wages case.

i. What percentage of these trials were:

1. jury:

70%

2. non-jury:

30%

e. Describe your practice, if any, before the Supreme Court of the United States. Supply four (4) copies of any briefs, amicus or otherwise, and, if applicable, any oral argument transcripts before the Supreme Court in connection with your practice.

I worked for a semester with Yale Law School's Supreme Court Advocacy Clinic in 2007. In that capacity, I helped to supervise students drafting a merits brief in a case relating to federal sentencing enhancements and a petition for certiorari in an asylum case:

Brief of Respondent filed in the U.S. Supreme Court in *United States v. Rodriguez*, No. 06-1646 (Dec. 2007). Brief supplied.

Petition for a Writ of Certiorari filed in the U.S. Supreme Court in *Yang v. Mukasey*, No. 07-756 (Dec. 2007). Brief supplied.

In addition, I provided minor edits to the following amicus briefs in cases in which I appeared as amicus:

Brief of Scholars of Criminal Law as amici curiae filed in the U.S. Supreme Court in the case of *Jones v. State of Mississippi*, No. 18-1259 (May 2019). Copy previously supplied in response to Question 12c.

Brief of Scholars of Criminal Law as amici curiae filed in the U.S. Supreme Court in the case of *Chandler v. State of Mississippi*, No. 18-203 (Oct. 2018). Copy previously supplied in response to Question 12c.

- 17. <u>Litigation</u>: Describe the ten (10) most significant litigated matters which you personally handled, whether or not you were the attorney of record. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case. Also state as to each case:
 - a. the date of representation;
 - b. the name of the court and the name of the judge or judges before whom the case was litigated; and
 - c. the individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.
 - 1. *Tompkins v. Pullen*, No. 3:22-CV-00339, 2022 WL 3212368 (D. Conn. Aug. 9, 2022) (Williams, J.)

Ms. Tompkins, a 37-year-old mother, was released from FCI Danbury to home confinement in June 2020 under the CARES Act, which gave the federal Bureau of Prisons (BOP) the authority during the pandemic to lengthen the period of time that individuals in BOP custody may spend on home confinement. After her release, Ms. Tompkins was able to provide a home for her two daughters, one of whom had been in foster care while she was in prison. She found a job and went back to school. After she had been home for a year, Ms. Tompkins stopped by a cellphone store to get her phone fixed on the way home from reporting to the halfway house supervising her. She thought she was authorized to make the stop. Although the halfway house's GPS tracking showed she was at the store, Ms. Tompkins nonetheless received an incident report for being in an unauthorized location. Soon after, the BOP re-imprisoned her without notice that she was facing re-imprisonment or an opportunity to tell a decisionmaker why imprisonment was unwarranted.

I had represented Ms. Tompkins in connection with a class action involving FCI Danbury, described below, and she contacted me after she was re-imprisoned to ask for help. Along with my co-counsel and students, I filed a habeas petition pursuant to 28 U.S.C. § 2241 on behalf of Ms. Tompkins asserting that the BOP re-imprisoned her in violation of her due process rights. The court agreed, concluding that the BOP must provide people on home confinement facing re-imprisonment with the same procedural protections that are required in state systems before parole is revoked (e.g., notice, a hearing in front of a neutral and detached decisionmaker, and a statement of reasons for revocation). This was the first case in the nation to hold that the BOP's process for

removing people released to home confinement under the CARES Act is unconstitutional.

Dates of representation: 2021 - 2022

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Clinical Professor of Law
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Opposing Counsel
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2. Martinez-Brooks v. Easter, No. 3:20-CV-00569 (D. Conn.) (Shea, J.) (later captioned Whitted v. Easter)

I served as class counsel in a class action lawsuit alleging that the federal Bureau of Prisons (BOP) was failing to take necessary action to protect incarcerated individuals at FCI Danbury from COVID infection. At the time we filed the lawsuit in April 2020, FCI Danbury—where most men and women are housed in crowded dorms—was the site of one of the most severe outbreaks of COVID in the federal system. Despite express authorization from Congress in the CARES Act and Attorney General Barr to move medically vulnerable people to home confinement, the warden at FCI Danbury had approved few people for that form of transfer.

I got involved in the case after being contacted by family members of people incarcerated at FCI Danbury who were terrified that their loved ones would be infected with COVID and die. Two weeks after we filed the lawsuit in U.S. District Court, the Honorable Michael P. Shea granted a temporary restraining order after determining that we had demonstrated a likelihood of success on our clients' Eighth Amendment claims. The order required the BOP to undertake expedited identification and consideration of medically vulnerable people for transfer to home confinement. *Martinez-Brooks v. Easter*, No. 3:20-CV-00569 (MPS), 2020 WL 2405350 (D. Conn. May 12, 2020). Following settlement, we prevailed on multiple motions with the Court to enforce the settlement agreement. *See Whitted v. Easter*, No. 3:20-CV-00569 (MPS), 2020 WL 7297016 (D. Conn. Dec. 11, 2020); 2021 WL 165015 (D. Conn. Jan. 19, 2021).

For eighteen months, I led the coordination of our collective work on the case. Over the course of the litigation, along with co-counsel and students, I represented around 1,000 medically vulnerable men and women at the prison. Approximately 30 law students worked on the case from across three law school clinics. More than 200 medically vulnerable clients were transferred to home confinement through the process set forth by the court's order and settlement agreement, which expired at the end of October 2021.

My work on the case, along with students, involved extensive factual investigation, requesting and reviewing voluminous paper discovery, taking and defending depositions, filing briefs in support of motions, presenting oral argument on motions, engaging in settlement discussions before the magistrate judge, and enforcing the settlement agreement through requesting re-review for home confinement for clients and seeking court intervention to ensure compliance with the terms of agreement.

Dates of representation: 2020 – 2021

Co-Counsel

David S. Golub Jonathan M. Levine Silver Golub & Teitell LLP One Landmark Square, 15th Floor Stamford, CT 06901 (203) 325-4491

Marisol Orihuela Clinical Professor of Law Jerome N. Frank Legal Services Organization Yale Law School P.O. Box 209090 New Haven, CT 06520 (203) 436-2581

Alexandra Harrington
Associate Professor
Criminal Justice Advocacy Clinic
University at Buffalo School of Law
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Tessa Bialek (at Quinnipiac during case) Civil Rights Litigation Clearinghouse University of Michigan Law School 625 South State Street Ann Arbor, MI 48109 (734) 647-3729

Zal K. Shroff (at Yale during case)
Lawyers Committee for Civil Rights of the San Francisco Bay Area
131 Stuart Street, Suite 400
San Francisco, CA 94105
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Opposing Counsel

Michelle L. McConaghy David C. Nelson Jillian R. Orticelli Nathaniel M. Putnam U.S. Attorney's Office District of Connecticut 157 Church Street New Haven, CT 06510 (203) 821-3700

John B. Hughes (now retired from U.S. Attorney's Office)

3. Brodeur v. Champion, No. 3:17-CV-1738 (D. Conn.) (Spector, M.J.)

Mr. Brodeur's mother contacted the Clinic at Quinnipiac and asked if we could help her son who was at a Connecticut state prison. After meeting Mr. Brodeur and investigating his claims, my students and I filed an action in federal court on his behalf alleging that state correctional officers had used excessive force against him. During the course of discovery, I supervised students taking the depositions of multiple officers. In advance of trial, we litigated motions in limine, filed briefing relating to jury instructions, sought to strike a late assertion by the defendants of a qualified immunity defense, and moved for permission to use demonstrative exhibits. *See, e.g., Brodeur v. Champion*, No. 3:17-CV-1738 (RMS), 2019 WL 3717570 (D. Conn. Aug. 7, 2019); *id.*, 2019 WL 3712190 (D. Conn. Aug. 7, 2019). I tried the case along with co-counsel and two law students. The students had the opportunity to conduct direct and cross-examination of witnesses, including officers and individuals who had been incarcerated with our client. The jury rendered a verdict for the defense.

Dates of representation: 2017 – 2019

Co-Counsel

Elana Bildner (at Quinnipiac during case) ACLU of Connecticut 765 Asylum Avenue Hartford, CT 06105 (860) 523-9146

Sean K. McElligott Silver Golub & Teitell LLP One Landmark Square, 15th Floor Stamford, CT 06901 (203) 325-4491

Opposing Counsel

Steven M. Barry Edward D. Rowley Office of the Attorney General State of Connecticut 110 Sherman Street Hartford, CT 06105 (860) 808-5450

4. Bardo v. Wright, No. 3:17-CV-1430 (JBA), 2019 WL 5864820 (D. Conn. Nov. 8, 2019) (Arterton, J.)

Mr. Bardo had skin cancer that went undiagnosed and untreated for more than two years while he was incarcerated in a Connecticut state prison. Despite Mr. Bardo's repeated requests for medical attention—and the obvious growth of a lesion on his face—the prison doctor had not referred him for a biopsy. As a result, when Mr. Bardo was finally released at the conclusion of his sentence and the cancer diagnosed, he required invasive surgery that resulted in significant permanent scarring. Mr. Bardo contacted Quinnipiac's Clinic and asked for help in filing a claim. After filing a complaint alleging the prison doctor had been deliberately indifferent to Mr. Bardo's serious medical needs in violation of the Eighth Amendment, we disclosed two experts and conducted extensive discovery in the case. I conducted and defended multiple depositions and was the primary drafter of all filings in the case, including our response to the defendant's motion for summary judgment. After oral argument, which I presented, the district court denied summary judgment. We settled the case after discussions mediated by the magistrate judge.

Dates of representation: 2017 – 2019

Co-Counsel

Tessa Bialek (at Quinnipiac during case) Civil Rights Litigation Clearinghouse University of Michigan Law School 625 South State Street Ann Arbor, MI 48109 (734) 647-3729 Sean K. McElligott Silver Golub & Teitell LLP One Landmark Square, 15th Floor Stamford, CT 06901 (203) 325-4491

Opposing Counsel

Thomas J. Davis, Jr. (since left the Office of the Attorney General)

5. Harding v. Harding, No. NNI-FA99-0269072-S (Ct. Super. Ct.)

In 2015, the Connecticut Veteran's Legal Center referred Mr. Harding's case to Quinnipiac's Clinic. When we met Mr. Harding, who had been honorably discharged after serving in the Army, he was 58 years old and unable to work because of serious disabilities. Years earlier, Mr. Harding and his wife had divorced and he agreed to pay child support. Following the divorce, Mr. Harding's health deteriorated and he experienced homelessness for multiple years. Although he later obtained housing with assistance from the HUD-Veterans Affairs Supportive Housing program and began to stabilize his life, Mr. Harding accumulated child support arrearages during this period of instability. After the family support magistrate required Mr. Harding to pay a weekly amount towards the arrearages that was unmanageable given his limited income from disability benefits, the Clinic represented Mr. Harding on an appeal to the Superior Court. At the hearing on the appeal, my students had the opportunity to present argument under my supervision, and the Court remanded the case to the magistrate to consider whether a deviation from the child support guidelines was appropriate given that Mr. Harding's exwife's income was much higher than his. Ultimately, the students and I assisted Mr. Harding in reaching an agreement with his ex-wife that resolved a dispute about the total arrearages owed and required him to pay a more manageable amount each week towards the arrearages. The court accepted the agreement.

Dates of Representation: 2015 – 2016

Defendant proceeded pro se

6. Vasquez et. al v. Angulo et al., NNH-CV-12-6026022-S (Conn. Super. Ct.) (Corradino, J.); In re Angulo and In re Valdivia, Nos. 14-30529, 13-32341 (Bankr. D. Conn.) (Manning, J.)

This case, brought on behalf of restaurant workers who were not paid minimum and overtime wages for their work over the course of many years at a restaurant in New Haven, asserted claims under the federal Fair Labor Standards Act and the Connecticut Minimum Wage Act. Quinnipiac's Clinic got involved early in the case after prior counsel discovered they had a conflict of interest. I conducted and defended depositions

and supervised students in taking depositions. After discovery, and on the eve of trial, the defendants filed for bankruptcy, thus triggering an automatic stay of our unpaid wages litigation. My students and I appeared in federal bankruptcy court, where we sought to lift the stay so that our trial could move forward. We succeeded, and the case proceeded to a bench trial in Connecticut Superior Court. We prepared our students to enable them to conduct the examinations of our clients and many of the witnesses at trial. My co-counsel and I handled the cross-examination of the defendants and arguments on various legal arguments that arose during trial.

Extensive post-trial briefing followed the trial, in which we asserted a range of legal issues relating to the defendants' failure to maintain employment records and our clients' entitlement to double damages under state and federal law. Meanwhile, our litigation continued in bankruptcy court, as we sought to preserve our ability to establish that the unpaid wages constituted willful and malicious injury by the debtors and were thus nondischargeable under the bankruptcy code. Eventually, the case settled before the Superior Court rendered a judgment.

Dates of representation: 2012 – 2015

Co-Counsel

Kevin Barry Quinnipiac University School of Law 275 Mt. Carmel Avenue Hamden, CT 06518 (203) 582-3233

Opposing Counsel

David A. Leff (in Superior Court) Levy, Leff & DeFrank, P.C. 129 Church Street Suite 712 New Haven, CT 06510 (203) 777-6887

Neil Crane (in bankruptcy court) Law Offices of Neil Crane, LLC 2679 Whitney Avenue Hamden, CT 06518 (203) 230-2233

7. Benefield v. Levitin Management, SCC 37482 (Ct. Super. Ct.)

I represented Ms. Benefield in the Connecticut Superior Court's Small Claims Court along with my students. Ms. Benefield, a 71-year-old woman, sought our help when her landlord failed to return her security deposit of \$930 after she moved out of an apartment

in New Haven. After Ms. Benefield filed a case pro se in Small Claims Court seeking the return of her deposit, the landlord counterclaimed alleging that she had caused damage to the apartment. In speaking with Ms. Benefield and other witnesses, we learned that our client had been living in terrible conditions in the apartment—forced to cope with constant leaks in the ceiling and with no place else to live. We obtained inspection reports from the New Haven Housing Authority revealing that the apartment had failed three previous inspections due to feces and urine leaking from the ceiling. We also learned that many other low-income tenants had complained about this landlord. On Ms. Benefield's behalf, we filed an amended complaint seeking double the amount of the security deposit and interest, as allowed by statute, and answered the counterclaim. In advance of the hearing date, I prepared my students so that they could conduct direct examination of multiple witnesses and cross examination of the landlord. On the date of the hearing, we engaged in settlement negotiations and ultimately resolved the case with the landlord. The court entered a stipulated judgment in favor of Ms. Benefield.

Dates of representation: 2011

Defendant proceeded pro se

8. Forde v. Baird, 720 F. Supp. 2d 170 (D. Conn. 2010) (Burns, J.)

With the Complex Federal Litigation Clinic at Yale Law School, I represented a Muslim woman who challenged on religious freedom grounds the federal Bureau of Prisons' (BOP) policy of subjecting her to non-emergency pat searches by male correctional officers. Ms. Forde's religious beliefs prohibited her from being touched by men who were not members of her immediate family, and she asserted that the BOP's practices denied her freedom of religious expression in violation of the First Amendment and the Religious Freedom Restoration Act.

I joined the case after the court had denied the respondent's motion for summary judgment and the case was scheduled for a bench trial. I played a central role in preparing the exhibits for trial and in drafting the trial memoranda, which proposed findings of fact and conclusions of law. At trial, I cross-examined the respondent's correctional expert and helped prepare the students and other attorneys for their direct and crossexaminations of witnesses. I also worked on the post-trial brief that we filed, which included extensive citations to relevant trial testimony and exhibits to support our client's claims. Ultimately, the Court concluded that the non-emergency pat searches by male officers violated the Religious Freedom Restoration Act because the searches substantially burdened our client's right to freely exercise her religion and the respondent failed to demonstrate that the searches furthered a compelling government interest and were the least restrictive means of furthering that interest. Accordingly, the Court granted our client an exemption from such searches. In 2015, the BOP stopped the practice of non-emergency pat searches of all incarcerated women by male officers in light of the prohibition of this practice by the Prison Rape Elimination Act's (PREA) Standards for Adult Prisons and Jails, 28 C.F.R. § 115.15(b).

Dates of Representation: 2009 – 2010

Co-Counsel

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Scott L. Shuchart (at Yale during case)

Opposing Counsel

Alan M. Soloway (deceased)

9. United States v. Davenport, 3:05-CR-121 (MRK) (D. Conn.) (Kravitz, J.), rev'd and remanded, 303 F. App'x 42 (2d Cir. 2008)

While at the Federal Public Defender's Office, I was appointed to represent Mr. Davenport, who was charged with being a felon in possession of a firearm and ammunition. In advance of trial, I conducted with co-counsel an evidentiary hearing involving multiple witnesses on our client's motion to suppress the evidence. The Court denied the motion. In advance of trial, we filed multiple motions in limine, including to preclude certain expert testimony. We also submitted proposed voir dire questions and jury instructions. At trial, I gave the opening statement and handled the direct and crossexamination of multiple witnesses. After the jury returned a guilty verdict, my advocacy turned to the upcoming sentencing hearing. In advance of sentencing, on behalf my client, I challenged the use of a prior Connecticut drug conviction to enhance his sentence under the federal sentencing guidelines—asserting that the federal enhancement covered a narrower range of conduct than the conduct covered by the Connecticut statute of conviction. Although the district court rejected my argument, the Second Circuit agreed on appeal and remanded for resentencing, relying on *United States v. Savage*, 542 F.3d 959 (2d Cir. 2008), a case I had previously litigated. I worked on drafting the initial brief for Mr. Davenport for the Second Circuit but a colleague took over the rest of the appeal, including the oral argument, as I had left the Federal Defender's Office by that time.

Dates of representation: 2005 – 2007

Co-Counsel

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Opposing Counsel

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10. United States v. Sikorski, 3:05-CR-315 (MRK) (D. Conn.) (Kravitz, J.)

While I was at the Federal Public Defender's Office, I was appointed to represent Ms. Sikorski, a 63-year-old woman who was accused of embezzling union funds while she served as treasurer of the union. Ms. Sikorski waived indictment and entered into a plea agreement, acknowledging that she wrote checks to herself in excess of the payments she earned through her work for the union and agreeing to pay \$26,256 to the union in restitution. This offense was Ms. Sikorski's first contact with the criminal justice system. In advance of sentencing, I filed a sentencing memorandum arguing that Ms. Sikorski's criminal conduct stood in stark contrast to the way she had led the rest of her life—she had worked for almost three decades for the board of education, raised three children, and was deeply involved in her church. The memorandum urged that although the U.S. Sentencing Guidelines called for 6 to 12 months' imprisonment, the court should impose probation. At sentencing, the court agreed and imposed three years' probation along with an order to pay restitution.

Dates of representation: 2005 – 2006

Opposing Counsel

Christopher W. Schmeisser

U.S. Attorney's Office District of Connecticut 157 Church Street New Haven, CT 06510 (203) 821-3700

18. <u>Legal Activities</u>: Describe the most significant legal activities you have pursued, including significant litigation which did not progress to trial or legal matters that did not involve litigation. Describe fully the nature of your participation in these activities. List any client(s) or organization(s) for whom you performed lobbying activities and describe the lobbying activities you performed on behalf of such client(s) or organizations(s). (Note: As to any facts requested in this question, please omit any information protected by the attorney-client privilege.)

For the past eight years, I have served on the Federal Grievance Committee for the U.S. District Court for the District of Connecticut. The Committee investigates complaints alleging misconduct of attorneys admitted to practice before the Court and makes recommendations to the Court regarding discipline. I was appointed in 2015 by the Honorable Janet C. Hall, then Chief Judge of the U.S. District Court, to serve as a member of the Committee. After I had served for five years, then Chief Judge Stefan R. Underhill appointed me in 2020 to be Counsel to the Committee. I was reappointed as Counsel in 2023. As Counsel, I investigate complaints against attorneys, elicit testimony at hearings before the Committee, analyze whether conduct has violated the Rules of Professional Conduct, draft written recommendations for the Committee to provide to the Court regarding appropriate disposition (i.e., dismissal or imposition of discipline), and appear at hearings before the Court on behalf of the Committee.

In 2013, the Honorable Chase T. Rogers, then Chief Justice of the Connecticut Supreme Court, appointed me to the Connecticut Committee on Judicial Ethics, which provides advisory opinions for guidance to Connecticut judges and judicial nominees with respect to the rules of court and statutes that govern the ethical and professional conduct of judges. The Committee on Judicial Ethics consists of five members: four judges or judge trial referees and one law professor who specializes in professional ethics. After serving two three-year terms, the maximum time permitted under committee rules, I stepped off the committee in 2019.

In 2016, Governor Dannel Malloy appointed me to serve on the Connecticut Sentencing Commission, a 23-member group consisting of law enforcement representatives, judges, the victim advocate, prosecutors, defense counsel, the parole board chair, correction and mental health commissioners, and appointed citizen members. Governor Ned Lamont reappointed me to the Commission in 2022. The Commission studies criminal justice issues, responds to requests for research or proposals from the Governor, legislators, and other state officials, and develops proposals for legislative or administrative change. Members of the Commission bring a range of perspectives and strive to reach a consensus on recommendations to present to the legislature. I currently serve on the Commission's Steering Committee and the Incarceration and Collateral Consequences

Subcommittee. In addition to participating in meetings of the Commission, I have worked with my Clinic students to conduct research for the Commission.

Over the years, I have provided written and oral testimony to committees of the Connecticut General Assembly on behalf of the Sentencing Commission. In addition, I have provided testimony, and supported students in providing testimony, on behalf of Quinnipiac's Civil Justice Clinic and Juvenile Sentencing Project. I have not otherwise engaged in lobbying activity nor registered as a lobbyist.

19. <u>Teaching</u>: What courses have you taught? For each course, state the title, the institution at which you taught the course, the years in which you taught the course, and describe briefly the subject matter of the course and the major topics taught. If you have a syllabus of each course, provide four (4) copies to the committee.

Civil Justice Clinic, Quinnipiac University School of Law (2011 – present). In the Civil Justice Clinic, students represent low-income clients under faculty supervision in cases involving issues such as unpaid wages, child support, housing, unemployment benefits, prison conditions, human trafficking, parole, and reentry barriers. Students at times also have the opportunity to work on policy matters. In addition, students participate in a seminar covering, among other topics, substantive and procedural law, ethics, and skills development. (Co-taught with Professor Kevin Barry, 2011 – 2019; Professor Sheila Hayre, 2019 – present). Syllabi supplied.

Sentencing, Prisons, and Reentry, Quinnipiac University School of Law (2017, 2019, 2021, 2023). This course explores the policies and procedures relating to the "back end" of the criminal justice system (i.e., what occurs after a determination of guilt). The course covers topics relating to criminal sentencing, including sentencing guidelines, mandatory minimums, and constitutional limits on sentences. We consider laws and policies relating to incarceration and the collateral consequences of criminal convictions. Syllabi supplied.

Sentencing, Yale Law School (Visiting Lecturer in Law, 2014, 2015). This seminar examined the history, philosophy, and administration of the criminal sentencing process. We focused on the federal sentencing system, studying the dynamic history of the federal sentencing guidelines, how the guidelines work, and the evolution of the debates about the guidelines' proper role in sentencing. We investigated the tensions between judicial discretion and prosecutorial discretion, examined the interplay between principles of proportionality, severity, and parsimony, and explored concerns about sentencing disparities. (Co-taught with Professor Dennis Curtis in 2014; co-taught with Professors Dennis Curtis and Fiona Doherty in 2015). Syllabi supplied.

Liman Public Interest Workshops, Yale Law School (2007 - 2010). While serving as Director of the Liman Program, I co-taught a weekly seminar on topics in public interest law. Each semester for three years, we taught a different course.

Course titles included "Detention," "Imprisoned," "Horizons in Clinical Education: Practicing the Theory of Social Change," and "Federalism and Social Movements: Public Interest Advocacy in Cities and States." (Co-taught with Professor Judith Resnik, and some semesters with Professors Hope Metcalf and Dennis Curtis). Syllabi supplied.

Prison Legal Services and Complex Federal Litigation Clinics, Yale Law School (2008 – 2010). These courses involved a weekly seminar and supervision of students representing incarcerated people in state and federal conditions of confinement cases. In the seminar and through litigation on cases, students learned about the scope of Eighth Amendment rights, the provisions of the Prison Litigation Reform Act, and statutory rights for incarcerated people under the Religious Freedom Restoration Act and the Prison Rape Elimination Act. Cases included issues such as denial of adequate medical care and restrictions on religious freedom in prison. (Co-taught with Professor Brett Dignam). I have not been able to locate a syllabus.

Criminal Defense Project, Yale Law School (2009 – 2010). With this course, I cotaught a weekly seminar focusing on criminal practice and procedure, skills development, and ethical issues. I also co-supervised students assisting lawyers on cases at the state and federal public defender's office in Connecticut. (Co-taught with Thomas Ullmann, then Public Defender for the Judicial District of New Haven, and Professor Dennis Curtis). I have not been able to locate a syllabus.

Sentencing Workshops and Course, Yale Law School (2009 – 2010). I coconvened several Sentencing Workshops, which brought together federal and state judges, defense lawyers, prosecutors, and other criminal-justice professionals for discussions with students about sentencing issues such as sentencing discretion, Congressional action, and the sentencing of drug-addicted individuals. The workshops were part of a semester-long course on sentencing, which I co-taught. (Co-taught with Professor Dennis Curtis and the Honorable Nancy Gertner). I have not been able to locate a syllabus.

Supreme Court Advocacy Clinic, Yale Law School (2007). With this clinic, I participated in a weekly seminar and helped to supervise students in writing a U.S. Supreme Court merits brief on federal sentencing enhancements and a petition for certiorari in an asylum case. (Co-supervised with attorneys Andrew J. Pincus, Charles A. Rothfeld, Professor Dan M. Kahan, and Professor Brett Dignam). I have not been able to locate a syllabus.

20. <u>Deferred Income/ Future Benefits</u>: List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients or customers. Describe the arrangements you have made to be compensated in the future for any financial or business interest.

None.

21. <u>Outside Commitments During Court Service</u>: Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during your service with the court? If so, explain.

None.

22. **Sources of Income**: List sources and amounts of all income received during the calendar year preceding your nomination and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, licensing fees, honoraria, and other items exceeding \$500 or more (if you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here).

When my nomination is formally submitted to the Senate, I will file my Financial Disclosure Report and will supplement this Questionnaire with a copy of that Report.

23. <u>Statement of Net Worth</u>: Please complete the attached financial net worth statement in detail (add schedules as called for).

See attached Net Worth Statement.

24. Potential Conflicts of Interest:

a. Identify the family members or other persons, parties, categories of litigation, and financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to arise.

If confirmed, I would strictly follow the Code of Conduct for United States Judges, the Connecticut Rules of Professional Conduct, and all other applicable rules and ethical canons governing recusal.

I would recuse in any case involving the law firm Silver Golub & Teitell LLP, where my spouse is a partner. For a period of time, I would anticipate recusing in cases involving my current employer (Quinnipiac University School of Law) if the school appeared as a party to a case or if a Quinnipiac professor appeared as counsel in a case before me. In addition, for a period of time, I would anticipate recusing in cases involving attorneys with whom I am currently or have recently served as co-counsel in litigation.

I would evaluate on a case-by-case basis any other real or potential conflict, or any relationship that could give rise to an appearance of conflict. In these instances, I would seek advice of the parties and their counsel on appropriate action and recuse where necessary.

b. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.

I would consult and carefully follow the provisions of 28 U.S.C. § 455 and Canon 3 of the Code of Conduct for United States Judges as well as any other applicable canons, rules, statutes, and treatises. If I needed additional guidance, I would seek advice from other judges or request an opinion from the Committee on Codes of Conduct of the Administrative Office of the United States Courts.

25. **Pro Bono Work**: An ethical consideration under Canon 2 of the American Bar Association's Code of Professional Responsibility calls for "every lawyer, regardless of professional prominence or professional workload, to find some time to participate in serving the disadvantaged." Describe what you have done to fulfill these responsibilities, listing specific instances and the amount of time devoted to each.

All of the legal work I have done in my career has been for people in need, and none of those I have represented were directly charged for those services. Thus, in many respects, I have been and continue to be a full-time pro bono lawyer.

My career has been devoted to serving disadvantaged communities in Connecticut and beyond through litigation and public policy work. After clerking, I spent the first few years of my practice representing indigent clients facing federal criminal charges at the Federal Public Defender's Office. At Yale Law School, as Director of the Liman Program, I launched law school graduates and undergraduates into public interest fellowships and encouraged access to justice for the underserved through organizing workshops and colloquia. I also supervised students in clinics representing indigent individuals. After moving to Quinnipiac, I have focused my clinical work on teaching students to be ethical and competent members of the legal profession and serving disadvantaged clients including veterans, low-income workers and tenants, incarcerated people, individuals facing barriers to reentry, and children sentenced to lengthy terms. I have served the federal and state courts through the Federal Grievance Committee, the Standing Committee on the Criminal Justice Act, and the Connecticut Judicial Ethics Committee. My work through the Connecticut Sentencing Commission and Juvenile Sentencing Project has also sought to assist policymakers and ensure access to justice.

26. Selection Process:

a. Please describe your experience in the entire judicial selection process, from beginning to end (including the circumstances which led to your nomination and the interviews in which you participated). Is there a selection commission in your jurisdiction to recommend candidates for nomination to the federal courts? If so, please include that process in your description, as well as whether the commission recommended your nomination. List the dates of all interviews or communications you had with the White House staff or the Justice Department regarding this nomination. Do not include any contacts with Federal Bureau of

Investigation personnel concerning your nomination.

In April 2022, after seeing a notice of an anticipated district court vacancy in the U.S. District Court for the District of Connecticut, I contacted Senator Blumenthal's office to request a copy of an application to be reviewed by the Senators' Advisory Committee. I submitted the application to the Committee through a member of Senator Blumenthal's office on May 9, 2022. I was interviewed by the Advisory Committee on May 12, 2022. I was contacted by Senator Blumenthal's office to arrange an interview with Senator Blumenthal and Senator Murphy, which occurred on June 8, 2022. In late July 2022, Senator Blumenthal's office contacted me to inform me that my name had been provided to the White House Counsel's Office. On August 15, 2022, the White House Counsel's Office informed me that I was moving on to the next stage of the process. Since August 15, 2022, I have been in contact with officials from the Office of Legal Policy at the Department of Justice. On October 4, 2023, the President announced his intent to nominate me.

b. Has anyone involved in the process of selecting you as a judicial nominee discussed with you any currently pending or specific case, legal issue or question in a manner that could reasonably be interpreted as seeking any express or implied assurances concerning your position on such case, issue, or question? If so, explain fully.

No.